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ABSTRACT

Responses from 528 reading teachers to two scales, one indicating the extent to which they perceived a problem as cccurring frequently in their classrooms and the other indicating the extent to which they perceived a problem as bothersome, were factor analyzed. Eleven "frequency" and ten "bothersome" factors emerged from the analysis. Among the problems seen by educators to be either difficult to resolve or occurring with noticeable frequency were invigorating student interest in reading, achieving a sense of professional worth, and building skills in reading comprehension. The factors emerging from the analysis also revealed interesting assumptions and convictions about teaching, the reading process, learning, and curriculum. Several factors revealed a deep sense of frustration and a desire to improve and grow professionally, while others reflected an unusually narrow conception of curriculum. (Six tables of data are included.) (Author/FL)

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### PERCEIVED PROBLEMS OF TEACHERS OF READING: FACT AND PARADOX

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There is today a great uneasiness about reading shared by both professional educators and the public at large. This uneasiness arises out of a realization that many children but especially poor children from urban schools are completing their education with woeful shortcomings in reading. These shortcomings are regarded by observers of American education as having potentially explorsive social and economic consequences. Yet, surprisingly, no aspect of American education has been studied as intensively and extensively as reading. The great bulk of this research has compared the effectiveness of one teaching method over another. More recently there has been a resurgence of theoretical research on the reading process. Neither theoretical nor methods research has shed much light on the causes of these reading shortcomings or on the problems teachers encounter in teaching reading.

The aim of the present study was to explore a variety of perceived problems educators encountered in the daily give-and-take of teaching children to
read. Since such educators play crucial roles in whatever successes or failures
characterize the teaching of reading what they think, feel and do about teaching
reading is important and must be known if we are to uncover the shortcomings
associated with reading failure. The purposes of this report, therefore, are:
(1) to identify the problems of reading educators as a prelude to solutions
which may then be hypothesized; and (2) to describe a needs-assessment methodology by which this goal can be accomplished.

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The basic assumption is that if we can discover what students' and teachers' problems are, we have gained enormously valuable insight into their world and we are in a position to help them at some level to reduce or eliminate these problems.

The methodology for identifying problems is straightforward. Initially, first-person accounts of classroom problems are elicited from students and/or teachers. Second, since problems are considered instances of goal-response interference, one or more goal statements are inferred from (each personal account. Third, goal statements are inspected in order to eliminate duplication. Fourth, goal statements are made into a self-administering checklist usually with two scales—one which indicates how frequently the goal is not attained and another which indicates how bothersome it is when the goal is not attained. Fifth, the checklist is responded to by the desired sample of either students or teachers. Sixth, the responses are analyzed to determine which individual problems are significantly frequent and/or bothersome and which groups of similar problems (problem areas) seem most noteworthy. Finally specific feedback is prepared for the respondents. Upon getting the feedback, individualized and personalized programs of inservice education for teachers can be developed.

The methodology has been used on a number of occasions to determine teacher and/or student concerns (4, 6, 7). [A review of these and other related studies is available (5).] Most recently it was employed as a part of The Ohio Right to Read Program.

The purpose of using the needs assessment methodology therein was two-fold.

Generally there was an interest in determining the problems of teachers of reading so that statewide attention might be focused upon them. More specifically

and showing them how to use it, they in turn would identify concerns of teachers of reading in their school districts and institute more meaningful, and personal programs of inservice education.

### **PROCEDURES**

The present study sought to expose Right to Read participants at a state—wide conference to the needs assessment methodology so that they could use it to identify problems of teachers of reading in their school districts and institute more meaningful inservice programs. The procedure for accomplishing the objectives was identical to that reported in earlier studies—that is, prior to and during the July 1975 Conference, participants were engaged in identification of their perceived problems and consideration of the methodology by which they were determined.

Because some participants in earlier conferences found it time consuming to conduct a study involving both stage one (the collection of teacher problems) and stage two (their verification), the validity and reliability of the check-list were improved so that it could be used directly by school districts and thus eliminate any need to do stage one. In order to do this the instrument was subjected to further item analysis with a larger population of teachers of reading. Consequently, the present study determines and reports what teachers of reading in Ohio perceive their problems to be.

Two samples of teachers were involved in the present study. The stage one sample from whom problems were collected consisted of 40 teachers enrolled in graduate courses at Ohio State University during the spring of 1975. Right

to Read Conference participants could not participate in this stage since these participants were not, as yet, identified.

The instrument used in stage one for collecting the personal accounts of teacher problems, the MBRPTI, was based on a similar instrument, the My Biggest Problem Today Inventory (MBPPI) form used and described in two previous studies (4, 7). Thereon, teachers of reading are requested to record personal accounts of the biggest problem they encounter each day when teaching reading. An example of a problem reported by a teacher follows:

Great disparity in reading ability and interests left the class moaning over what, to me, was a brief and interesting assignment. My feelings of frustration seemed to keep me from stimulating any interest, absolutely no response to my most "provocative" questions: The class ended with the students and me disgruntled.

A second kind of information solicited by the MBRPTI was the respondent's reaction to the incident on each of three sets of polar adjectives: frequent-infrequent; bothersome not bothersome and solvable-insolvable.

Thirdly, the respondent was asked to classify the personal account reported on each MBRPTI according to one of fourteen different needs. These needs, among them Achievement, Competence, Counteraction and Efficiency, had been identified in the two previous studies of problems of teachers of reading. Following the first three steps of the methodology, the MBRPTI was administered to the stage one sample of forty teachers and duplications and redundancies were eliminated. There were 38 somewhat different goal-statements added to the checklist. These new goal statements when combined with the 68 items on the original Teacher Problems Checklist (Reading) constituted a revised 106-item instrument, the Teachers Problems Checklist: Reading (TPC-R).

In addition to increasing the number of items on the checklist, the response mode was changed as well. On the first checklist, respondents had been asked to indicate on two, two-point scales (yes-no) the extent to which each problem was frequently occurring and bothersome. On the TFC-R each of the frequency and bothersomeness scales became a five-point scale. There were two reasons for selecting this scale. First, it was assumed that frequency and bothersomeness were variables which exist on a continuum. Feedback from teachers indicating that they had difficulty responding to the items on dichotomous scales supported this assumptions. Secondly, the purpose was then to construct a scale on which teachers could respond in a psychometrically reliable way and which would allow the responses to be distributed so that they would yield the greatest variance possible. A modified five-point Likert scale was employed to accomplish these purposes. Below is an example of two specific problems from the TFC-R and the scales on which teachers responded.

RELATED TO READING I HAVE, A PROBLEM

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Conference. Each was sent a letter which explained the purpose of the study and requested the participant's cooperation in two ways. First, he or she was asked to complete one of three checklists which accompanied the letter. Second, the participant was asked to get two colleagues to respond to the other two checklists in order to provide a large enough response so that the 106-item checklist could be factor analyzed.

Table 1 indicates the number of returned and usable checklists by subgroups of respondents.

TABLE 1
NUMBER OF RESPONDENTS WHO RETURNED USABLE CHECKLISTS BY SUBGROUPS

Subgroups	Number of Checklists Returned
Elementary Teachers	, 222
Middle School Teachers	69
High School Teachers	57
Reading Specialists	87
Supervisory or Administrative Personnel	. 79
"Others"	• 10
General Unspecified Classroom Teachers	4
TOTAL	528

#### RESULTS

Participants responded to both the frequency and bothersomeness of the problems and their responses were analyzed separately in order to report two kinds of information. First, specific problems for all the Conference participants and for subgroups of participants were determined. Second, problem areas which were identified by all the respondents were reported.

In order to identify the specific problems perceived to be the most frequent, the mean frequency of all the problems was determined first. Then each mean which was higher than the average was tested to determine if it significantly (p <.01) exceeded the value of the average frequency of the problems.

Since an intention of the needs assessment methodology also is to be able to provide results for meaningful subgroups of respondents, Table 2 presents the average responses and ranks of the 52 most frequently occurring problems for five subgroups of respondents: elementary teachers, middle school teachers, high school teachers, reading specialists and supervisory and administrative personnel.

## A COMPARISON OF THE SIGNIFICANTLY FREQUENT PROBLEMS IDENTIFIED BY ALL THE RESPONDENTS FOR FIVE SUBGROUPS

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		ementary hool Teachers	School.	chool rs	ig Lists	isory and strative nel	
TPC-R	Problem Statement	Elemen School	Middle	High S Teache	Reading Special	Superv Admini Person	
1,	Having appropriate materials for different reading levels.	2.87/33.5	3.16/39.5	3.39/22.5	2.57/58.5	2.97/23.5	٨
3	Changing the negative perceptions and attitudes of students toward reading-doing those things which seem likely to result in more positive perceptions and attitudes.	2,85/38.5	3.39/20.5	3.39/22.5	3.17/12	3.11/11	•
8	Getting students to work more thoroughly and slowly.	3.55/3	3:87/2	3.47/15.5	3.26/6	3.13/9	
_ 15	Because I want my students to have a better self-concept.	2.88/32	3.32/25	3.40/20	3.17/12	2.90/34.5	
16.	Getting students to read at a rate appropriate for comprehending the material.	3.00/17	3.22/32	3.14/41	2.97/23.5	2.92/29.5	•
17	Getting students to learn and remember basic terms in a special subject area.	2.87/33.5	3.20/34.5	3.39/22.5	2.57/58.5	2.99/22	•

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TPC-R	Problem Statement	Elementary School Teachers	Widdle School Teachers	High School. Teachers	Reading	Supervisory and Administrative Personnel	
18	Stimulating students to do remedial work in reading.	2.90/28.5	:3,25/30	3.44/41	2.77/44	2.95/25.5	
19	Getting students to enjoy it.	2.69/54	3,29/28	3.53/13.5	2.85/35	2.97/23.5	
20	Demonstrating that my students have learned what I thought I had taught.	2.66/62	2.97/62	3,07/46	2.60/54.5	2.77/54.5	
21	Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.	2.86/36	3.19/37.5	3,53/13.5	2,53/63	2.87/37	
22	Finding material of interest for each student.	3.00/17	3,46/14	3.37/25		3.06/13	
24	Identifying students' reading difficulties.	2.82/41	3.04/55	3.21/32	2.41/70.5	3.05/16	
28	Getting students to comprehend.	3.26/6	3.41/19	3, 58/10	3,20/95	3.23/5	)
29	Having students make inferences from their reading.	3.21/19	3.65/7.5	3.63/7	3.56/1.5	3.13/9	
30	Having enough time to work with students who need special help.	3.99/1	4.30/1	3.95/7	3.43/4	3.67/1	
32	Getting students to follow directions.	3.17/11	3.49/12	3.44/17	3.14/15	2.90/34.5	
35 ERIC	Doing the things which seem likely to help students improve in reading com- prehension ability such as giving	2.72/49.5	3.01/57.5	3.16/37	2.87/31	2.77/54.5	12

Mitchell-Kernan, Claudia & Keith T. Kernan. Children's Insults: America and Samoa, in Sanches & Blount. Pp. 307-315.

Investigate the content of children's insules as a way of approaching cultural values. For example, black American children accuse each other of being babies and insult each other is parents. Samoan children do neither of the above but accuse each other of having Chinese eyes. Furthermore, the strength of the children's response to particular insults reflects the inensity of the respective value. For example, black American children become most angered at references to each other's looks. Finally, when children use insults incorrectly, the process by which they acquire values can be witnessed.

Nader, Laura. The Problem of Order in a Faceless Society.

After noting the problem of voicing complaints in a complex society such as ours (i.e. in contrast with Zapotec Indians who know the appropriate channels for directing complaints), and noting the further problems created by vertical as opposed to horizontal integration (i.e. doctors talk primarily to other doctors, etc.), Nader analyzes specific strategies of dealing with a complaint which she has dubbed "the No-job." That is, the employee, of the phone company for instance, whose job it is to say no. Interesting analysis of the verbal strategies used for accomplishing this end.

Sanches, Mary. Introduction to Pt II, Sanches & Blount.

Names 4 most important sources of thinking with regard to metacommunication: 1) "general" use of the term. 2) Bateson (see my entry for details about his theory 3) Jakobson (1960) in Style in Language, ed. Thomas Sebeok. 4) symbolists in anthropology (e.g. Geertz). Sanches discusses the dual goal of this section of the book: 1) scientific schema for isolating different types of metacommunicative events and acts and 2) to understand how language as a behavior-generating model allows for an infinite number of speech events.

Sanches, Mary & Ben Blount. <u>Sociocultural Dimensions of Language Use</u>. NY: Academic Press, 1975.

Another key collection of essays in the research tradition under discussion. Many of the articles included are found in this biblio.

Schieffelin, Bambi B. Getting it Together: An Ethnographic Approach to the Study of the Development of Communicative Competence, in Elinor O. Keenan, ed., Studies in Developmental Pragmatics. NY: Academic Press, to appear.

Begins with an excellent discussion of trends in developmental psycholinguistics, inspired by Chomsky and McNeill, particularly the approach recommended by Slobin, et.al., A Field Manual for Cross-Cultural Study of the Acquisition of Communicative Competence (UCB LBRL 1967). Argues convincingly that the Manual failed, since it prescribed

### (Schieffelin, cont'd)

eliostation procedures developed in American settings, in hopes of collecting comparable data, which were not applicable in different cultures for reasons well-documented by Schieffelin. Then S. outlines her own system for gathering developmental data in Papua, New Guinea, which consisted of recording speech from four children over an extended period of time in interaction with their own families in their own homes, engaged in ordinary activities. Focuses particularly on the use of the native term a:la:ma, by which mothers and older siblings purposefull teach young children to "talk hard," i.e. right. [personal note: Very interesting data, clearly and delightfully discussed in a significant framework.]

Silverman, David. The Action Frame of Reference, in <u>The Theory of Organization</u>, Heinemann, 1970, pp. 126-146.

Argues for an "action" approach to understanding behavior which seems to consist in a holistic (cf Percý Cohen) notion that "people are constrained by socially constructed reality" (as opposed to a "systems" approach" which sees people as constrained by external systems). Reference to social theorists Durkheim, Parsons, Schutz, as well as Symbolic Interactionists Rose and Blumer. Lists seven components of an Action approach, Basic elements seem to be 1) meaning as socially-constructed reality and 2) sociologists' task to understand inherent logic of data, not impose external logic on data.

Spradley, James P. The Ethnography of Crime in American Society.

A study of public intoxication in Seattle. By examining the various terms used by habitual offenders, discovered the social variables of public intoxication. In an interesting revelation of the ways in which different terms reveal different world views [my observation], notes that the same offenders are "down-and-outers" to outsiders; "common drunkards" to the court; "drunks" or "vagrants" to the police; "chronic alcoholics" to doctors andhealth officials; "the homeless man" to social scientists; and, to the men themselves, "tramps" or "inmates," or any of many subtypes of each (enumerated in the text). The in-group's own classifications are shown to reflect a complex set of distinctions all of which grow out of the main distinguishing factor of mobility. [note: Seems quite similar to the Agar study for street junkies, but this one, for some reason, is much more pleasant to read.]

Stross, Brian. Linguistic Creativity in Song, in Sanches & Blount. Pp. 317-348.

An interesting ethnography of song in Tzeltal (Mayans). What is special about this study is its focus on the unique tension between freedom for creative expression within structural constraints: "It is ... by means of constraints that creativity may be judged." Analyzes three sample songs. [Personal note: This is the central tension in art, most dramatically, and in all human culture.]

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		Elemen School	Middle Teache	High S	Reading	Supervison Administra Personnel
IPC-K	Problem Statement	N M	ŽH	HH	Sp	Su
	attention to meaning, retention, inference making, vocabulary development and syntax.					0
36	Having students feel successful at reading.	2.59/65	3.14/43	3.16/37	2.72/49.5	2.80/50.5
37	* Providing for individual differences.	3.00/17	3.36/22.5	3.58/10	2.66/51.5	3.03/19.5
38	Getting students to do things such as working carefully or spelling correctly.	3.44/4	3.86/3	3.82/3	3.20/95	3.15/7
40	Getting students to see meaning in the printed page.	2.85/38.5	3.14/43	3.14/41	3.00/21.5	2.85/40
.45	Getting students to improve in language a arts abilities, especially reading.	2.70/52.5	3.20/34.5	3.12/44	2.87/31	2.82/46.5
	Doing those things which seem likely to improve those abilities.					
46	Getting students to read accurately orally.	2.82/41	2.99/60	2.79/66.5	2.82/37	2.56/67.5
47	Improving my student's vocabularies.	2.90/28.5	3.46/14	3.67/5	3.21/8	3.05/16
,49 	Knowing each student and his or her reading problems.	2.62/59	3.14/43	3.47/15.5	3.53/63	3.06/13
52	Caining skill in the diagnosis of individual student reading difficulties and having the time and opportunity to employ such skills.	3.39/5	3.77/4	3.35/26.5	2.95/25.5	3.25/3
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TPC-R	Problem Statement	Elementary School Teachers	Middle School, Teachers	High School Teachers	Reading Specialists	Supervisory and Municipal Supervisory and Personnel
54	Helping students learn to read fluently.	2.90/28.5	3.30/26 5	3.05 45	Jan 100	2.75/56.5
56	Having appropriate materials for different interest levels.	2.93/24	3.36/22.5	3.18/34.5	27, 41 5	2.95/25.5
57	Having preparation time,	3.23/7.5	3.45/16	3.04/49.5	2.82/37	2,80/50,5
61	Getting students to read aloud with expression.	3.02/13	3.26/29	3.09/45	3.03/18	2.59/62
64	Improving students' word attack skills.	2.86/36	3.20/34,5,	2.56/77.5	2.87/31	2.81/,48.5
65	Getting students to read so that they develop better understanding of language structure such as complete sentences and sentence fragments.	3.00/17	3.30/26.5	3.14/41	3.01/20	2.91/32
66	Teaching too many students or large classes.	2.95/21.5	3,43/17	2.96/56	2.28/79	2.43/.79
67	Helping students to comprehend what they are reading orally.	2.95/21.5	3.23/31	2.98/52	2.81/39	2.84/43
69 •	Because I want students to have confidence enough to attack new words.	2.82/41	3.09/51	2.98/52	3.02/19	2.84/43
72	Because I want other students to be more considerate of students who do not read as well.	2.73/48	3.19/37.5	3.04/49.5	2.86/33.5	2.82/46.5
73	Enhancing my students' interest in and attitude toward reading.	2.68/55.5	3.35/24	3.42/185	3.17/12	3.04/18
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, <b>s</b> -	IPC-R <sup>→</sup>	Problem Statement	Eleme Schoo	Middle Teache	High S	Reading Special	Super Admin Perso	
	<b>79</b> /	Knowing how to help students with low					* , ,	_
		I.Q., poor vision, or hearing problems.	2.86/3.6	3.10/48.5	3.02/51	2.74/48	2.91/32	
•	80	Finding enough time to help all reading groups.	i i	5/5	3.05/4	• :	3.24/4	
	81	Completing the work I have planned.	3.00/17	2.99/60	2.79/66.5	2.77/44	2.91/32	
	85	Because I want parents to be more con- cerned about their child's reading	3.23/7.5	3.59/10	3.26/30	3.38/5	3.06/73	
:	٠.	ability.					<i>*</i>	
	87	Getting students to read more.	3.18/10	3.71/6	3.86/2	3.56/1.5	3.30/2	
٠,٠٠٠	88	Getting students to read at their own grade level.	2.60/62	3.09/51	3.18/34.5	2.97/23.5	2.61/61	`
8	39	Helping students to overcome perceptual	200/21	0.00/60			1	
		problems:	2,89/31	2.99/60	2.79/71	3.00/21.5	3.05/16	1
9	00	Motivating students to read.	2.80/44	. 3.52/11	3.58/10	3.24/7	3.13/9	
9	2 .	Knowing about and having appropriate materials for a wide range of students.	2.97/20	3.42/18	3 35/26.5	2.66/51.5	2.94/27.5	
, 9	3	Getting students to try harder.	3.01/14	3.65/7.5	3.61/8	3.05/16.5	2.89/36	
9	4 • `	Overcoming students' feelings of frustration.	2.71/51	3.01/57.5	3.26/30	2.90/28	2.84/43	
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TPC-R	Problem Statement	Elementary School Teachers	Middle School Teachers	High School Teachers	Reading Specialists	Supervisory and Administrative Personnel
98	Getting students to read for and recall details.	2.91/26 /-	3.20/34.5	3.39/32.5	2.89/29	2:75/56.5
100	Getting students to retain and use what they have learned.	3.16/12	3:64/9	3.72/4	3.44/3	2.75/56.5
101	Because I want students to be prepared.	$2.90/\overline{28.5}$	3.46/14	3.56/12	2.79741.5	2.84/43
102	Overcoming students' indifference toward reading.	2.97/46	3.39/20.5	3.42/18.5	3.15/14	3.01/21

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Note that a problem may be frequently occurring for the whole group while it may occur only infrequently for a subgroup, and vice versa.

Table 3 presents the same kind of results for the 48 most bothersome problems for the five subgroups of respondents."

TABLE 3

# A COMPARISON OF THE SIGNIFICANTLY BOTHERSOME PROBLEMS IDENTIFIED BY ALL THE RESPONDENTS FOR FIVE SUBGROUPS

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TPG-R	Problem Statement	Elementary School Teachers	Middle School Teachers	High School Teachers	Reading	Supervisory and Administrative Personnel
1	Having appropriate materials for dif- ferent reading levels.	3.35/26.5	3.59/26	3.77/13	3.22/37.5	3.35/17
3	Changing the negative perceptions and attitudes of students toward reading-	3.54/14	3.77/15	3.74/15	3.94/1	3.67/2
	doing those things which seem likely to result in more positive perceptions and attitudes	***		A	•	
8	Getting students to work more thoroughly and slowly.	3.94/3	3.97/4	3.67/19	3.80/7	3.43/8.5
15	Because I want my students to have a better self-concept.	3.28/33	3.39/38.5	3.49/32.5	3.62/13	3.10/39
18	Stimulating students to do remediat work in reading.	3.27/35	3.32/44.5	3.26/50	3.22/37.5	3.08/41
19	Getting students to enjoy it.	3.20/41	3.57/28	3.82/10	3.38/27.5	3,28/23,5

TPC-R	Problem Statement	Elementary School Teachers	Middle School Teachers	'High School Teachers	Reading Specialists	Supervisory and Administrative Personnel
21	Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.	3.14/45	3.39/38.5	3.72/17.5	3:00/57	3.06/42.5
22	Finding materials of interest for each student.	3.29/31	3.72/18	3.72/17.5	3.51/19	3.34/18
24	Identifying students' reading difficulties.	3.65/9	3.55/29	3.54/27	3.38/27.5	3.52/4
28	Getting students to comprehend.	3.82/6	3.81/10.5	.3 <b>.</b> 93/ <sub>(</sub> 6	3.60/15	3,47/7
29	Having students make inferences from their reading.	3.49/18.5	3.84/8	3.61/20.5	3.66/10	3.37/15.5
30	Being awake and alert.	4.41/1	4.52/1	4.02/1	3.91/2	3.87/1
32	Getting students to follow directions.	3.91/4.5	4.13/2	3.82/10	3.60/15.	3.29/21
36	Having students feel successful at reading.	3.28/33	3.39/38.5	3.37/42.5	3.48/20.5	3.16/33
37	Providing for individual differences.	3.66/8	3.65/21.5	3.54/27	3.25/35.5	3.28/23.5
38	Getting students to do things such as working carefully or spelling correctly.	3.70/7	4.12/2	3.96/3		3.41/10
				<i>*</i> .		

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Ekman, Paul; ed. <u>Darwin and Facial Expression: A Century of Research in Review</u>. NY: Academic Press, 1973.

The last word [or the last wink?] on facial expression research. Includes chapter by Ekman himself in which he surveys cross-cultural studies of facial expression. The thrust of his argument is that whereas facial expressions were once considered universal, there developed a trend toward considering them socially-determined (he blames Birdwhistell for this, among others), like everything else. Shows why studies which seemed to support such an interpretation are not valid. Proffers instead the theory (convincingly) that facial expressions of emotion are universal; it is display rules (i.e. when it is deemed appropriate to show expressions) that differ from culture to culture.

Ekman, Paul. About Brows: Emotional and Conversational Signals, in Aschoff, Cranach, Eibl-Eibesfeld, Lepenies, eds., <u>Human Ethology</u>. Cambridge University Press, to appear.

Tells all that Ekman knows about brows (which is probably as much as or more than anyone else in the world), including their use as a baton (to emphasize a word or phrase in conversation, or as an emblem (not accompanied by speech). Discusses notion of display rules [see above entry] and experimental data documenting them. An excellent introduction to the sort of thing Ekman does, which is truly overwhelming. [Note: Having recently just about completed what amounts to an etic analysis of facial movements, he and Friesen are embarking on emic analyses.]

Ekman, Paul and Wallace V. Friesen. The Repertoire of Nonverbal Behavior: Categories, Origins, Usage, and Coding. Semiotica, Vol. 1, 49-98 (1969).

A very complete and clear article. Begins with summary of their work to date (not telling findings but describing areas). Suggest that origin, usage and coding (the latter is defined as rules which explain how the behavior contains or conveys information) are the three aspects of non-verbal that must be understood. Discuss these three parameters for each of five categories of nonwerbal behavior: 1) emblems, which have a "definition" or verbal translation. 2) illustrators, of which there are six types; batons, ideographs, deictic movements, spatial movements, kinetographs, and pictographs, all of which serve to illustrate what is being said. 3) affect displays, primarily involving. the face. 4) regulators, which "maintain and regulate the back-andforth nature of speaking and listening," by urging the speaker to hurry up or slow down, for example. 5) <u>adaptors</u>, called the most difficult to describe and believe in (!), which are presumably originally learned as adaptive behavior to fulfill needs, e.g. wiping of lips with tongue or hand. Three types are distinguished; self-adaptors, alter-adaptors, object-adaptors. An accompanying chart makes all the above plain.



Erickson, Frederick. One Function of Proxemic Shifts in Face to Face
Interaction Kendon Harris The Mague Chicago Phonton, Address 1576:

In studying videotaped counseling sessions, discovered that proxemic shifts are often parallel to topic shifts. They occur at the beginning and end of a segment, and correspond to shifts in content, style, and interaction process. Always occur with "uncomfortable moments." However, they occur less at segment boundaries in intra-ethnic encounters ("not clear why").

Erickson, Frederick. Talking Down and Giving Reasons: Hyper-Explanation and Listening Behavior in Inter-Racial Interviews. Paper delivered at the International Conference on Non-Verbal Behavior, Ontario Institute for Studies in Education, Toronto, Canada, May 11, 1976.

Based on counseling interviews (video-taped) between counselors and students of different and similar ethnic backgrounds. Discovered that the most usable information was gleaned by the students when the counselor's ethnic background was similar to theirs. First reviews relevant research. Then shows the effects of differing expectations about how listenership and speakership is to be carried out and signalled. Basic finding is that (for example) black Americans in the study tended to maintain eye contact while speaking and make eye contact only sporadically while listening. In contrast, the white speakers tended to look steadily at their interlocutor while listening and allow their eyes to dart about while speaking. The result in inter-ethnic communication was that the black student appeared to the white counselor to be not listening or not understanding, since the black listener often "missed" the speaker's LRRM (Listener-Response-Relevant-Moment; i.e. a signal that some response from the listener is expected), and the white speaker similarly "missed" some of this listening responses the black listener made according to his own conventions. The result was that the counselor employed one of two forms of hyperexplanation: talking down or giving reasons repeatedly. The impression, not otherwise explicable to the student, is that the counselor thinks he is stupid. This is altogether a crucial paper, clearly set forth and well demonstrated by examples from the data.

Goody, Jack. Memory and Learning in Oral and Literate Culture: The Reproduction of the Bagre. ms.

Whereas he used to think the LoDagaa of Northern Ghana memorized the Bagre, he now believes it is a process of creative reconstruction from a schema. With reference to Bartlett and Lord, discusses oral versus literate uses of memory, noting that it is only in literate societies that verbatim memory flourishes, since that type of memory is associated with formal schooling. Writing is said to affect memory in three main ways: 1) by making possible the greater ordering of things 2) adds a visual, spatial and motor element 3) facilitates rehearsal by making it possible to check back to the text.



Goody, Jack and Ian Watt. The Consequences of Literacy, in Giglioli, pp. 311-357. Excerpts from larger work, 1962.

Notes that modern culture is both oral and literate, and that the relationship between these two modes is a source of problems. The advent of literacy made possible a permanent record of the past and its beliefs, thereby ushering in the task of historical enquiry and also scepticism. It became possible to build up and test explanations and to develop a "logical, specialized, and cumulative intellectual tradition." [This is one of the basic texts in the tradition of oral/literate culture which includes a number of the entries in this bibliography.]

Kaplan, Robert B. Cultural Thought Patterns in Inter-Cultural Education. Language Learning, Vol. 16, 1-20 (1966).

Begins with a summary of philosophical and linguistic theory about cultural relativity of rhetoric and logic. Discusses findings of a study which analyzed the compositions, written in English, by students of various language backgrounds. Concludes that speakers of other languages adhere to different rhetorical models, and illustrates these by simple diagrams. In Arabic (and other Semitic) languages, "paragraph development is based on a complex series of parallel constructions," and coordination is valued rather than subordination. Oriental (Chinese and Korean) languages are said to be "marked by what may be called an approach by indirection," and "much greater freedom to digress or to introduce extraneous material is available in French, or in Spanish...." Concludes that contrastive rhetoric must be taught as we now teach contrastive grammar, and suggests some ideas for how this may be done. [Personal note: Although it is little known in linguistics, this is one of my favorite articles.]

Keenan, Elinor O. Why Look at Planned and Unplanned Discourse, in Keenan & Bennet, pp. 1-41.

Makes the interesting (and apparently valid) claim that communicative strategies learned early in life are not replaced by later-learned strategies but rather are "retained, to be relied upon under certain communicative conditions." Specifically, suggests that adults employ more sophisticated communicative patterns in planned discourse, but in unplanned discourse "they rely more heavily on morpho-syntactic and discourse skills acquired in the first three to four years of life." Data presented to support hypothesis is drawn from child/child communication (her own); child/adult (Lois Bloom's); and adult/adult (Jefferson's and Schegloff's). Note that "planned" in this study refers to planned written, while "unplanned" refers to unplanned spoken. Work remains to be done on planned spoken and unplanned written texts.

Keenan, Elinor O. & Tina Bennet, eds. <u>Discourse Across Time and Space.</u>
Southern California Occasional Papers in Linguistics No. 5, May 1977.
(Department of Linguistics, University of Southern California)

A collection of articles by Keenan and others associated with her, based on the following data: 6 narratives were given orally and spontaneously by students in a composition class about a near-death experience (cf. Labov), and then the same people went home and wrote up the same experiences. Includes useful bibliography by area/topic. [Note: An excellent idea for real data, comparing written and spoken modes. Work seems influenced by ethnomethodologists, sometimes happily, sometimes less so. See individual entries.]

Keenan, Elinor Ochs and Bambi B. Schieffelin. Topic as a Discourse Notion: A Study of Topic in the Conversations of Children and Adults, in Li, ed., Subject and Topic, NY: Academic Press, 1975, pp. 335-384.

For authors, topic "is not a simple NP but a proposition (about which some claim is made or elicited)." They "propose here a dynamic model of the way in which speakers establish a discourse topic." Drawing upon data from three sources: 1) Lois Bloom's tapes of mother/child interaction 2) conversations between twin children 3) group therapy session transcribed by Gail Jefferson. Model (also shown graphically) includes the following: 1) secure attention 2) speak clearly 3) give sufficient information to identify objects 4) give sufficient information about relationships between objects mentioned. The development of competence in children "concerns the extent to which a child is able to determine the discourse topic of a conversational partner." [Note: "discourse topic" as outlined here is similar to Gumperz' notion of "thematic progression." Seems right.]

Kempton, Willet. The Rhythmic Basis of Interactional Micro-Synchrony, ms.

Birdwhistell and Scheflen study kinesics. Condon (and later, Kendon) study micro-kinesics. Kempton [his name is one more credential for his role in the field] explains their work, which uncovered the completely awe-inspiring fact of synchrony at the micro level over a baffling range of interactions. That is, when someone speaks; s/he exhibitsself-synchrony: the parts of their body move in sync with each other and with speech -- i.e. in the same frame of a movie film! Even more astoundingly, there is interactional synchrony: the hearer's movements are in sync with the speaker's. Different parts of the bodies move at different speeds and in different directions, but they change direction at the same moment. Self-sychrony is even found in neonates (that's newborns).

Kempton, Willet. Speech Rhythm and Social Interaction: A Review of Microkinesic Research. ms.

Discusses synchrony (see preceding entry) in primates and in various exceptional situations. E.g. monkeys exhibit dyssynchrony just before



(Kempton, Review, cont'd)

departure. Dyssynchrony is also observed in pathological behavior, Parkinsonism, stuttering, schizophrenia, aphasia, Huntington's chorea, epilepsy, autism, retardation, and reading problems. More synchrony is observed between members of the same sub-culture, between mothers and their infants, between men and women. Reference made to Lomax's work on cantometrics exhibiting "choral cohesiveness," which seems to be a related phenomenon. [Personal note: this is more evidence for the existence of similar communicative strategies among members of similar subcultures; further explanation for the satisfying feelings associated with communicating with someone of a shared background.]

Kirshenblatt-Gimblett, Barbara. The Concept and Varieties of Narrative Performance in East European Jewish Culture, in Bauman & Sherzer, pp. 283-308.

Shows that narration of stories is a "cultural focus" in east European Jewish society. Stories are told regularly to make a point. "My aim, then, will be to characterize storytelling in east European Jewish cutlure of the late nineteenth and early twentieth centuries, particularly in tradition-oriented circles. Defines and describes various types of stories told, from least to most formal, giving examples of each type. Ends with comparison of formal and informal types.

Kroll, Barbara. Combining Ideas in Written and Spoken English: A Look at Subordination, in Keenan & Bennet, pp. 69-108.

Discusses the syntactic functions coordination and subordination as treated in three traditions: pedagogical grammar, contemporary rhetoric, and transformational grammar, and opts for an eclectic approach. Suggests that the measure for counting is an "idea unit" which a communicator has in mind and can encode at the phrase, clause or sentence level. Such units can then be combined by coordinating conjunctions, subordinate "signal" words, or dependent phrases. Hypothesizes that "the totally unsophisticated communicator knows and uses none of these devices, and relies instead on the principle of 'nextness' to create connections between ideas."

Labov, William. Narrative Analysis: Oral Versions of Personal Experience, in Helm, ed., Essays in the Verbal and Visual Arts. Seattle: U of Washington Press, 1967. Pp. 12-44.

Suggests that before attempting to analyze complex narratives such as myths, epics, etc., scholars should grapple with "the simplest and most fundamental narrative structures ... in direct connection with their originating functions." Suggests that such narratives are "oral versions of personal experience." This paper then analyzes such narratives elicited from speakers of Black English [not sic] in New York. The analysis is formal and functional. [Note: the attempts at

formalism are annoying to me but the reference to actual narratives which are quoted at length are excellent. This paper is a precursor of the following.]

Labov, William. The Transformation of Experience in Narrative Syntax, Language in the Inner City: Studies in the Black English Vernacular. U of Pennsylvania Press, 1972.

This is a key article in narrative study from any perspective.

Structural analysis: narrative contains 1) abstract 2) orientation
3) complicating action 4) evaluation 5) result 6) coda. Of
these, evaluation is the most significant for content analysis.

It consists of the speaker's attempts to answer in advance the hearer's
question, "So what?" I.e. it shows what the speaker thinks is tellable
about the story. Shows numerous linguistic techniques for accomplishing
evaluation. [Note: If you're going to read anything about narratives,
read this.]

Olson, David R. From Utterance to Text: The Bias of Language in Speech and Writing, in Fisher and Diez-Gurerro, eds., <u>Language and Logic in Personality and Society</u>. NY, 1976. Also <u>Harvard Education Review</u> 47:3 (Aug 1977)

A long and interesting discussion of rhetorical strategies in writing and speech. Basically distinguishes between the concept of meaning as inherent in the text associated with writing, (and with Chomsky in linguistics) as opposed to meaning residing in context, associated with speech (and with Chafe). Oral statements are said to appeal to common experience for meaning, whereas written statements depend on prior agreement about rules of argument. Children are said to ignore or misinterpret utterances which express meaning other than that expected (contrary-to-fact, entailment, comes later). Most common reasoning is really enthymeme: logical steps are omitted. What people consider "logical," in fact, is what they agree with. [All this and more.]

Polanyi, Livia. Why the Whats are When: Mutually Contextualizing Realms of Narrative, in <u>Proceedings of the Second Annual Meeting of the Berkeley Linguistics Society</u>, 1976.

Quote: "In this paper I will be arguing for the need for a pragmatic theory of narrative to account for the surface structure phenomena which are common in the narrative texts of real speakers." Outlines others' approaches to narrative analysis and their inadequacies. Leaning heavily on Labov's notion of "evaluative," makes the key observation: "People regularly understand a given narrative text to be about something other than the events or changes of state in the narrative." Two kinds of structures are posited: temporal (ie sequential events) and durative/descriptive (spatial, characterological, etc.). After analyzing in detail a priceless narrative entitled The Lady and the Housefly, concludes by suggesting a formalism (not

(Polanyi, Realms, cont'd)

yet worked out) which builds "on the concept of mutually contextualizing frames -- each frame containing a structure governed by its own rules, and the three frames as a whole constituting a narrative frame operating within the communicative structure as one way of encoding and reporting information to other people."

Polanyi, Livia. So What's The Point? Semiotica, to appear.

Hypothesis is that what the point of story can be is culturally constrained. Demonstrates this by analyzing in detail a story told by a woman in a group discussion and showing that the speaker and her audience negotiate the point of the story until they agree upon one and the speaker can move on to another. Includes comprehensive bibliography of sources on narratives. [Personal note: the story here analyzed is the one I collected which is also the subject of my own papers: "Well What Did You Expect?" (BLS 3) as well as "The Effect of Expectations on Conversation" (Discourse Processes, to appear). Polanyi's paper contains key in sights into the cultural constructs underlying discourse.]

Propp, Vladimir. Morphology of the Folktale, 2nd ed. Austin: U of Texas
Press, 1968. (Study completed by Propp in 1928; originally published in English translation in 1958). Intro by Alan Dundes.

A very basic text in narrative analysis, since it was one of the first. It is what Dundes (in the introduction) calls a syntagmatic structural approach, tracing the linear sequence of events, as opposed to a paradigmatic structural approach (cf.Levi-Strauss) tracing underlying patterns and binary oppositions. Propp does not concern himself with context and culture. Simply breaks fairy tales into component parts and studies them in terms of the functions of dramatis personae.

Ross, Robert N. Ellipsis and the Structure of Expectation, <u>San Jose State</u>

<u>Occasional Papers in Linguistics</u>, Dept of Linguistics, <u>San Jose State</u> U.

1975.

Ross is "interested in how we perceive and understand the connections between some parts of texts." Thesis is that this is accomplished by means of "covert pieces of information" which he calls "structures of expectation." [Personal note: I have borrowed this term from Ross; it seems like the simplest and most accurate way of expressing what has been called scripts, schemata, frames, templates, etc.]

Sacks, Harvey. On Some Puns: With Some Intimations, in Shuy, ed. <u>Sociolinguistics:</u>
<u>Current Trends and Prospects</u>. Washington DC: Georgetown U., 135-144 (1972).

Discusses puns as a way of showing what ethnomethodology can do. Thus, aim is "to show a conversation sequential ordering [sic] that can be found for a characterizable class of puns. Data from a group therapy session for adolescent boys. After presenting the excerpt

TPC-R	Problem Statement	Elementary School Teachers	* Middle School Teachers	High School. Teachers	. Reading Specialists	Supervisory and Administrative
40	Getting students to see meaning in the printed page.	3.24/38	3.32/44.5	3.35/44.	3.45/23	3.09/40
45	Getting students to improve in language arts abilities, especially reading.  Doing those things which seem-likely to improve those abilities.	3.01/55.5	3.25/49.5	3:30/46	3.15/44	2,97/47
47	Improving my students' vocabularies.	3.01/55.5	3.45/33.5	3.61/20.5	3.53/18	3.13/38
49 7	Knowing each student and his or her reading problem.	3, 28/33	3.45/33.5	3.56/24.5	3.34/29	38/14
52	Gaining skill in the diagnosis of individual student reading difficulaties and having the time and opportunity to employ such skills.	3.91/14.5	3.94/5	3.37/42.5	3.58/17	3.51/5.5
56	Having appropriate materials for different interest levels.	3.21/40	3.58/27	3.47/34.5	3,13/45	3.15/35.5
57	Having preparation time.	3.60/11	3.52/31	3.,26/50	3.08/50	2.91/53.5
62	Maintaining student attention.	3.38/23	3,51/32	3.46/37	3.06/54.5	3.18/30.5
64	Improving students' word attack, skills.	3.26/36.5	3.13/61	2.74/76.5	3.20/40	3:00/45.5

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TPC-R	Problem Statement	Elementary School Teachers	Middle School Teachers	High School Teachers	Reading Specialists	Supervisory, and Administrative Personnel
65	Getting students to read so that they develop better understanding of lan-guage structure such as complete sentences and sentence fragments.	3.14/15.5	3.34/41	3.28/47.5	3.12/46.5	3.00/45.5
66	Teaching too many students or large classes.	3.52/15.5	3.83/9	3.18/55	2.71/73	2.70/69
67	Helping students to comprehend what they are reading orally.	3.19/42	3.30/48	3.19/54	3.00/57	2.96/49
. 69	Because I want students to have confidence enough to attack new words.	3.09/48	3.19/53	3.04/60	3.39/26	3.01/44
72	Because I want other students to be more considerate of students who do not read as well.	3.45/20	3.77/15	3.79/12	3.64/12	3.‡7/25
73	Enhancing my students' interest in and attitude toward reading.	3.07/51	3.70/20	3.49/32.5	3.75/8	3.29/21
78	Overcoming student apathy or out- right dislike.	3.03/53.5	3.62/24	3.58/22.5	3.32/31.5	3.29/21
79	Knowing how to help students with low I.Q., poor vision, or hearing problems.	3.55/13	3.61/25	3.47/34.5	3.44/24.5	3.37/15.5
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		Elèmentary School Tead	ddle Scho	School ers	ng alisas	visory istrat
TPC-R	Problem Statement	Elème Schoo	Middl	High Teach	Reading Special	Supery Adminit Person
80	Finding enough time to help all reading groups.	3.99/2	3.91/6.5	3.28/47.5	3.28/34	3,39/12
82	Dealing with students who are bright but slow readers.	3.37/24.5	3.13/61	3.05/60	3.20/40	3.16(33
85	Because I want parents to be more con-	3.64/10	3.74/17	3.54/27	3.65/11	3.18/30.5
	cerned about their child's reading ability.			· 25*		
87	Getting students to read more.	3.52/15.5	3.80/12.5	3.95/4	3.84/6	3.51/15.5
'89 <sup>)</sup>	Helping students to overcome per- ceptual problems.	3.30/30	3,13/61	3.11/57	3.44/24.5	3.30/79
90	Motivating students to read.	3.32/29	3.77/15	3.93/6	3.87/3.5	3.43/8.5
91	Doing an effective job of teaching reading.	3.49/18.5	3.65/21.5	3.58/22.5	3.47/22-	3.39/12,
92	Knowing about and having appropriate materials for a wide range of students.	3.37/24.5	3.64/23	3.51/30	3.09/48	3.15/35.5
93	Getting students to try harder.	3.50/17 <sup>1</sup>	1,80/12.5	3.90/8.	3.68/8	3 16/33
94	Overcoming students feelings of frustration.	.3.35/ <b>26.</b> 5 <sub>8</sub>		3:74/15		3.24/27.5

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TPC-R	Problem Statement	Elementary School Teachers	Middle School Teachers	Teachers  Reading Specialists	Supervisory and Administrative Personnel
98	Getting students to read for and recall details.	3.15/43	3.17/56 3.66	/24.5 3.08/50	2.76/63
100	Getting students to retain and use what they have learned.	3.57/12	3.91/6.5 3.98	/2 3.87/3.	3.24/27.5
101	Because I want students to be prepared.	3.26/36.5	3.81/10.5 3.82	/10 3.20/40	
102	Overcoming students' indifference toward reading.	3.40/22	3.71/19 3.93/		3.39/12
106	Having students feel that they are making satisfactory progress.	3,08/49.5	3.32/44.5 3.46/	3,32/31.	5 3.06/42.5

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In order to attempt to identify the problem areas represented by the 106 apecific problems on the TPC-R, a factor analysis was done separately for the frequency and bothersomeness responses. The frequency responses and the bothersomeness responses of the 528 respondents to the 106 items on the TPC-R were first subjected to the principal-axis method of common factor analysis to determine the number of salient common factors that sould be meaningfully rotated. To be sure to account for all meaningful factors in these two sets of data, each of the 106-item correlation matrices was "overfactored" (i.e., 20 factors) initially using modified squared multiple correlations as first estimates of the effective communalities (8:4-5).

Relative to the initial factoring of the frequency responses, a summary of resultant eigenvalues and estimated variance shared is given in the left-hand portion of Table 4.

PRINCIPAL AXIS SOLUTION OF FREQUENCY RESPONSES USED TO DETERMINE THE NUMBER OF SALIENT FACTORS

7	Squared Multiple Correlations Used as Estimates of Communalities			Sum of Squared Factor Loadings Subsequently Used as Estimates of Communalities		
Factor	Eigenvalue	Eigenvalue Difference	Percent Variance	Eigenvalue	°Percent Variance	
1 .	23.60	19.82	48.10	23.56	54.04	
2	3.78	. 47	55.80	3.75	62.65	
<b>3</b> ( ) ( )	3.31	.21	62.55	3.26	70.14	
4	3.10	.17	68.87	3.07	77.18	

TABLE 4 (Continued)

√Factor	Eigenvalue	Eigenvalue Difference	Percent Variance	Eigenvalue	Percent Variance
5	1.93	.28	72.80	1.88	< 81.50
<u>' 7</u> 6	1.65		76.17	1.62	85.22
. 7	1.53		79.29	1.48	88.61
8	` 1.36	.17	82.06	1.33	91.65
9	1.17	.19	84.44	1.12	94.21
10	1.09		86.67	1.05	. 96.63
11	1.07	.02	88.84	1.02	98.98
12	.93	.05	90.74	()	
19	.88	.05	92.53		
14	.83	.05	94.21		)
15	.78		95.80		
16	77	.08	97.37		
17	.69	.63	98.78		
18	.66	.01	100.12		
19	.65	.05	101.44		
20	.60	7	102.66*		•

<sup>\*</sup> When the factor matrix is initially overfactored and squared multiple correlations are used for communality estimation, it is common that latter factors account for more than 100 percent of common variance (trace).

The summary offered by Table 4 was used to judge the number of frequency factors (salient factors) that could be meaningfully rotated. The principal methods used to determine the number of factors to be retained for rotation



were Cattell's scree test (3:206) and an examination of the overfactored initial principal axis matrix (not shown). To apply Cattell's scree test, the eigenvalue difference column is studied for the purpose of determining where (a) the differences begin to "level off" or (b) a reversal in magnitude of difference occurs. The scree criterion suggested a five, eight or eleven factor solution for frequency with preference for the latter since an examination of the initial principal axis matrix revealed the presence of only one substantial factor loading associated with the remaining nine excluded factors—a loading of .374 on factor thirteen. To resolve the choice among these three solutions, five, eight and eleven factors were subsequently rotated with the result that the eleven factor solution lent itself to clearest interpretation. The decision, therefore, was to retain eleven factors for rotation.

To achieve greater precision, the log item correlation matrix was refactored using the sum of the squared factor loadings on the eleven retained factors as estimates of effective communalities. Refactored results are displayed in the righthand portion of Table 4.

Factors emanating from the refactored solution were then subjected to an oblique promax rotation (12) for the purpose of obtaining meaningful structure. Table 5 presents the specific problems that had a .300 or higher loading on each of the eleven frequency factors.

#### TABLE 5

### ELEVEN FACTOR SOLUTION FOR FREQUENCY DATA FROM THE TEACHER PROBLEMS CHECKLIST: READING

(Astericks denote problems identified as significant for all respondents.

N = 528)

	Factor I: Invigoration	
TPC-R	Problem Statement	Factor Loading
73*	Enhancing my students' interest in and attitudes toward reading.	.556
90*	Motivating students to read.	· ^.502
19*	Getting students to enjoy it.	.482
102*	Overcoming students indifference toward reading.	.465
87*	Getting students to read more.	∕.443·
<b>3</b>	Changing the negative perceptions and attitudes of students toward reading-doing those things which seem likely to result in more positive perceptions and attitudes.	.419
94*.	Overcoming students' feelings of frustration.	.356
78	Overcoming student apathy or outright dislike.	.333
15*	Because I want my students to have a better self-concept.	.325
36* ′	Having students feel successful at reading.	<b>322</b>
106	Having students feel that they are making satisfactory progress.	.310
TPC-R	Factor II: Skill Building in Oral Reading  Problem Statement	Factor Loading
42	Improving students' oral reading ability.	.489
46*	Getting students to read accurately orally.	.447

į *		
. 4	Helping students feel secure and unafraid when reading aloud.	.445
77	Getting students to read loudly and clearly.	. 438
61*	Getting students to read aloud with expression.	-430
5	Doing the things which seem likely to help students improve in oral reading.	.410
TPC-R	Factor III: Time  Problem Statement	Factor Loading
80*	Finding enough time to help all reading groups.	.581
66*	Teaching too many students or large classes.	.480
30*	Having enough time to work with students who need special help	. 459
59	Being unencumbered by other things such as taking attendance, collecting assignments and passing out materials when I should be teaching.	.417
57 <b>*</b> 81 <b>*</b>	Having preparation time.  Completing the work I have planned.	.398
IPC-R	Factor IV: Support Problem Statement	Factor Loading
71	Getting other teachers to encourage interest in and positive attitudes toward reading and to teach it better themselves.	.629
96	Because I want other teachers to stimulate interest in reading.	.619
43	Getting others to use standardized tests for diagnosis rather than using them to reinforce cultural stereotypes.	a .575
86	Being able to find and/or use culture-fair tests.	.400
33	Because I want teachers in earlier grades to do a more effective job of teaching reading.	.349 🕠
76	Reinforcing cultural stereotypes to get federal or state money.	:316



	Factor V: Professional Worth	
TPC-R	Problem Statement	Factor Loading
10	Being recognized as a successful teacher of reading by having my students do well so that my colleagues are aware of my teaching effectiveness.	.545
14	Feeling successful as a teacher of reading-doing things and having colleagues and administrators do things which contribute to my success.	.495
6	Gaining the respect and esteem of my colleagues and others-doing those things which are honored, esteemed and respected.	.460
63	Getting my colleagues to consider my teaching effective.	.378
9	Organizing and preparing to teach reading so that I will be clear and alert.	.354
103	Because I want to feel successful as a teacher of reading.	.317
1	Factor VI: Individualization	
TPC-R	Problem Statement	Factor Loading
56*	Having appropriate materials for different interest levels.	.606
21*	Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.	.597
92* •	Knowing about and having appropriate materials for a wide range of students.	.574
1*	Having appropriate materials for different reading levels.	.497
22*	Finding materials of interest for each student.	.440
44	Having materials needed to help students improve word attack skills.	.383



Labov, William. The Logic of Nonstandard English, in Giglioli, pp. 179-215. Excerpts from Georgetown Monographs on Language and Linguistics, Vol. 22 (1969), pp. 1-22, 26-31.

Another monumental work. Dispelled once and for all the "deprivation" theory of black language which had inspired the infamous Bereiter and Engelmann materials based on the theories of Basil Bernstein, assuming that black children "have no language" and attempting to teach them one from scratch. Shows that NNE (Negro Nonstandard English) is a rule-governed dialect; some rules presented are 1) negative concord [note the difference in bias from what was formerly called "double negative"] 2) pluperfect (had came) 3) negative perfect (I ain't had) 4) negative preterite (I ain't go) 5) negative inversion (don't nobody know) 6) invariant 'be' 7) optional copula (which can be deleted just where standard English can contract!!! 8) dummy 'it' for 'there' 8) full forms of auxiliaries.

In his enthusiasm for proving (which he does amply) that black children are verbally dextrous, Labov gives a rather slanted and unfair example of standard speech which is verbose, repetitive, and empty. Also makes the wonderful observation that "The highest percentage of well formed sentences are found in casual speech, and working-class speakers use more well formed sentences than middle-class speakers. The widespread myth that most speech is ungrammatical is no doubt based upon tapes made at learned conferences, where we obtain the maximum number of irreducibly ungrammatical sentences." [Even when he is taking swipes at his colleagues, you can't help cheering him on.]

Martyna, Wendy. Comprehension of the Generic Masculine: Inferring 'She' from 'He,! presented at APA 85th Annual Convention, SF, August 1977.

Settles at last [I wish] the question of whether the "generic" use of "he" actually "means" either "he" or "she" to people. By giving subjects sentences and testing their understanding of the meaning, discovered that 80% of subjects inferred "he" from "he": that is, they took the "generic" to refer to masculine.

Matisoff, James A. Lahu Bilingual Humor. <u>Acta Linguistica Hafniensia</u>, 12:2 (1969), 171-206. (Copenhagen)

Analyzes Lahu jokes based upon puns, polysemy, misunderstandings, occurring in the context of bilingual contact between Lahu and Shan speakers and bidialectal contact between Yellow Lahu and Black Lahu speakers. Shows that jokes reveal pecking order and social relations. Remarks on the surprising fact that through such jokes Lahu make temselves the butts of their own jokes. [I humbly point out that it is specifically those Lahu who try to "put on airs" by speaking Shan or thinking that they understand Shan who become the butts of the jokes, which thereby become a mechanism for enforcing group solidarity. This is a delightful article which identifies a significant locus for linguistic analysis.]

Matisact. James A. <u>Psycho-ostensive Expressions in Yiddish</u>. NY: ISHI, in press.

Structural as well as psychological analysis of expressions in Yiddish which are inserted. Thank God, in Yiddish conversation, serving the overt function of expressing the attitude of the speaker to the content of the statement. Distinguishes between: 1) bonorecognition (thanks and congratulations) 2) malo-recognition (lamentation and sympathy 3) bono-petition (asking for good) 4) malo-fugition (warding off evil). Then discusses particular semantic categories (death-related expressions, curses, oaths). Includes numerous delightful and rich examples from literature and conversation, as well as numerous brilliant and true observations about language. [Personal note: This has to be one of the loveliest works I have ever read in linguistics.]

Quina-Holland, Kathryn, Henry G. Bates, and Joseph A. Wingard. Language Style and Sex Stereotypes in Person Perception. Presented at APA meeting, SF, August 1977.

Yet another study which confirms experimentally what Lakoff said about women's speech style." Found "a steretype of speech patterns matheing Lakoff's hypothesis, and further implicated language style in a more general sexual steretype. Regardless of speaker sex, masculine patterns received greater competence-efficiency rating while feminine speech patterns received higher social warmth scores." [This too confirms Lakoff's hypothesis.]

Siegler, D.M. and Siegler, R.S. Steretypes of Male and Female Speech," presented at APA 83rd Annual Convention, Chicago, ILL. 1975.

And yet another. Developed a set of sentences reflecting Lakoff's categories of male/female speech (e.g. use of declaratives vs. tags and hedging). Asked subjects to rate whether speaker was probably male or probably female. Hypothesis confirmed. Then get this:

A second group of subjects was asked to rate whether each sentence was "probably written by someone intelligent" or not. Voila.

Resulting pattern was consistent with the ratings of the first group, with sentences described as "masculine" attributed to "intelligent" speakers and sentences thought to be uttered by "women" attributed to "probably not intelligent" speakers.

Shimanoff, Susan B. Investigating Politeness, in Keenan & Bennet, pp. 213-241.

Noting Lakoff's hypothesis that women are "more polite" than men and an accusation by C. Kramer in <u>Psychology Today</u> that this is just "folk-linguistics," attempts to find out what really goes on by placing a tape recorder on the desk of the secretary of the Speech Communication Department and thereby recording, unbeknownst to everyone except the



(Shimanoff, cont'd)

secretary herself, 21 different conversations in 10 minutes. males and females equally polite (judging by number of turns judged to exhibit politeness) but that men and women showed different types of politeness and different specific features. I.e. women were found to use more positive politeness (cf Brown & Levinson: 'satisfies one's need for approval and belonging' [i.e. Lakoff's 'rapport' principle, I'd say]) while men shows equal use of positive and negative politeness (cf Brown & Levinson negative pol.: "reduces the imposition of a statement," [i.e. Lakoff's deference or distance]). These findings are discussed in an interesting way. Problems are noted in implementing Brown &Levinson method (which she was trying to do here), and alterations are suggested. [It's a miracle anything turned up at all, considering the bias of the data: i.e. the secretary herself knew of the recording; the secretary accounted for an inordinate percentage of the female turns: the power/role differences between male professors and female others; the fact that male academics, cf Lakoff, do not generally employ stereotypically "male" speech patterns.]

Soskin, William and Vera P. John. The Study of Spontaneous Talk, in Barker, ed., The Stream of Behavior. NY: Appleton Century Crofts, 1963, pp. 228-281.

Authors wired up two young couples who were vacationing at a resort and thereby continually monitored and recorded everything they said to each other or to anyone else between 8AM and 12 midnight over a period of time [wasn't clear how long; seemed to be at least a week]. Present article is called a pilot study and concerns the talk of one of the couples. Contains four types of analysis: 1) ecological (episodes, subepisodes: where they went; what they did.) (2) structural (statistics such as amount of talking time, proportion of talking time, average unit length, etc.) (3) functional (relational vs. informational function) 4) dynamic analysis (along 3 variables: state, locus-direction, bond; i.e. the affect). The functional analysis (3) consisted of classifying utterances as one of 6 types: 1) expressive statement 2) excogitative statement ("thinking aloud") 3) signones (report speaker's present physical or psychological states) 4) metrones (valuative statements) 5) regones (regulative statements) 6) structones (informational statements).

Discussion consists of fascinating observations about what was going on interactionally between Roz and her husband Jock [I can't help thinking this was an intentional pun] and how it was reflected in their speech. Includes a rather lengthy transcript of a single episode which cries out for further analysis [though they made a good start]. [Personal note: although the terminology is a bit unwieldy, inspired by the ecological psychologists Barker and Wright no doubt, yet the concrete analysis of conversation I think surpasses anything that has been done since. These results are called "pilot" but I understand nothing was done since. What a pity. What I want to know is: How can I get my hands on the tapes? This is a really exciting study. But I doubt it would get past any human subjects committee today!



### Part IV: Pragmatics

## [Including Speech Acts in Linguistics]

Bolinger, Dwight L. Contrastive Accent and Contrastive Stress. <u>Language</u>, 37:1 (1961), 83-97.

Distinguishes between contrastive accent (which is not phonetically definable) and contrastive stress (which is phonetically definable as a shift in stress. Constrastive stress normally implies the presence of contrastive accent, but the converse is not necessarily true. [Personal note: I have included this study not because its findings are especially useful but because a) it was focusing on intonation at a time when few other linguists were doing so and b) because of the cute way the sentences are laid out on the page to indicate their intonation. No kidding, that's one possible transcription convention which has been tried.]

Boyd Julian, and J.P. Thorne. The Semantics of Modal Verbs, <u>Journal of Linguistics</u>, Vol. 5 (1969), 57-74.

Authors state that they are the first to use philosophy of language Speech Act Theory in linguistics! Apply it to study of the modals can, shall, should, will. Make the interesting claim that there are only two tenses in English: PAST and PRESENT, or better, PAST and NONPAST. Note that they consider only the epistemic sense (in their discussion of can) as modal; the root sense of can is called non-modal.

Brown, Penelope, and Stephen Levinson. Universals in Language Usage:
Politeness Phenomena, in Goody, ed., Questions and Politeness: Strategies
in Social Interaction. Cambridge U. Press, 1978, pp. 56-289.

Stated major aim is to account for the amazing cross-cultural similarity in conversational strategies. Hypothesize that the reason is the universal politeness. Question they ask is, "What sort of assumptions and what sort of reasoning are utilized by participants to produce such universal strategies of verbal interaction?" With reference to data from a number of different cultures; their procedure is to postulate a Model Person (MP), who is "endowed with two special properties :-rationality and face. There are two identified components of face: negative face: "the want of every 'competent adult member! that his actions be unimpeded by others," and positive face: "the want of every member that his wants be desirable to at least some others." There exist, correspondingly, negative and positive politeness strategies. Remaining heuristic terms include FTA ("face-threatening acts") and going on record or off record [which correspond roughly to direct and indirect communication]. Acknowledge debt to Gumperz, Grice and Lakoff. [Note: A long work that is really the whole book it is in. The identified positive and negative wants do actually have the ring of truth about them.]

## Factor VII: Skill Building in Word Recognition

T R		Factor oading.
68	Getting students to recomize sight words.	.499
60	Getting students to do well on reading readiness exercises.	•¥75
27	Getting students to pronounce letters combined into blends, digraphs and diphthongs correctly.	.390
55	Teaching about syllables.	.388
89*	Helping students to overcome perceptual problems.	.380
41	Getting students to pronounce letters of the alphabet correctly.	. 369
64*	Improving students' word attack skills.	.349
50	Getting students to read each word.	.335
46*	Getting students to read accurately orally.	.334
95	Getting students to perform well enough on standardized tests to satisfy others.	.333
· <u>/</u>		

# Factor VIII: - Competence in Diagnosis and Remediation

TPC-R	Problem Statement	Factor Loading
34	Knowing how to evaluate students' comprehension abilities.	.458
52*	Gaining skills in the diagnosis of individual student reading difficulties and having the time and opportunity to employ such skills.	.414
24*	Identifying students' reading difficulties.	.368
35*	Doing the things which seem likely to help students to improve in reading comprehension ability such as giving attention to meaning, retention, inference making, vocabulary development and syntax.	.323
9 .	Organizing and preparing to teach reading so that I will be clear and alert.	.322

58	Assessing student achievement.	.322
49*	Knowing each student and his or her reading problems.	.309
<u>-</u>	Factor IX: Skill Building in Reading Comprehension	
PC-R	Problem Statement	Factor Loading
17*	Getting students to learn and remember basic terms in a special subject area.	.435
28*	Getting students to comprehend.	.411
16*	Getting students to read at a rate appropriate for compre- mending the material.	.371
40*	Getting students to see meaning in the printed page.	.367
38*	Getting students to do things such as working carefully or spelling correctly.	.330
29*	Having students make inferences from their reading.	.309
9 <b>8</b> *	Getting students to read for and recall details.	. 300
	Factor X: Security	
PC-R	Problem Statement	Factor Loading
25	Establishing and maintaining rapport with students.	.479
39	Knowing how to correct students who are easily embarrassed.	.376
,05 ်	Being more patient.	.358
99	Being organized.	.338
70 ·	Being prepared.	. 309

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## Factor XI: Student Success

TPC-R	Problem Statement	Factor Loading
84	Because I want students to learn faster.	.367
101*	Because I want students to be prepared.	.363
98*	Getting students to read for and recall details.	.329
100*	Getting students to retain and use what they have learned.	.314

Note - These results were used to construct the TPC-R, Form C

The factors were labeled and defined as below.

Factor I: Invigoration

Factor II: Skill Building in Oral Reading

Factor III: Time

Factor IV: Support

Factor V: Professional Worth

Factor VI: Individualization

- Wanting to vitalize my students' interests in learning and improve their achievement.
- Wanting students to improve in oral reading. Doing those things which seem likely to improve that ability in students.
- Wanting time to get both professional and personal things accomplished.
- wanting the understanding and sustenance of administrators and other teachers so that I can be efficient and feel professional.
- Gaining the respect and esteem of my colleagues and others. Doing those things which are honored, esteemed and respected so as togain that sense of worth.
  - Wanting to know about and have available a full range of reading materials. Doing those things which identify and procure such materials.

Factor VII:

Skill Building in

Word Recognition

Wanting students to improve in word recognition skills. Doing those things which seem to improve those abilities in students.

Factor VIII:

Competence in Diagnesis

and Remediation

Wanting skills in the diagnosis and remediation of individual student reading difficulties.

Factor IX:

Skill Building in

Reading Comprehension

Wanting students to improve in reading comprehension. Doing those things which seem to improve that ability in students.

Factor X:

Security

Wanting to feel free from fear and

anxiety.

Factor XI:

Student Success

Wanting to help students to succeed academically and personally. Wanting the student to be efficient and effective. Doing those things-invigorating, counseling, guiding, establishing optimal classroom learning conditions -- as a teacher which will lead to these goals.

With respect to the bothersomeness responses, the factor analytic methodology was identical. Subsequent to obtaining the initial overfactored principalaxis matrix, a decision was made to refactor and rotate ten factors. Table 6 presents the results of the initial overfactoring and the subsequent refactoring.

PRINCIPAL AXIS SOLUTION OF BOTHERSOMENESS RESPONSES USED TO DETERMINE THE NUMBER OF SALIENT FACTORS

Squared Multiple Correlations Used as Estimates of Communalities

Sum of Squared Factor Loadings Subsequently Used as Estimates of Communalities

Factor	Eigenvalue	Eigenvalue Difference	Percent Variance	Eigenvalue	Percent Variance
1	27.80 ,	24.18	53.90	27.47	60.19
2 -	3.62	. 46	60.92	3.58	68.04
<b>→</b> 3	3.06	. 5	66.86	3.01	74.64
4	2.51	.45	71.73	2.46	. ,80.03-
5 "	2.06	.20	75.72	2.06	84.55
6	1.86	.34	79.33	1.81	88.52
7	1.52	.34	82.28	1.65	92.13
8	1.18	.14	84.58	1.13	94.62
9	1.04	.07	86.59	.98	96.77
10	. 97	→ .00	88.47	, 96	98.86
11	.97	.06	90.35		•
12	.91	.12	92.11	,	
13	.79	.07	93.65	* * * * * * * * * * * * * * * * * * * *	
14 ,	.72	.06	95.04	1	
15	.71	.03	96.41		
16	-68	.06	97.73		<i>~</i>
17	.62	.02	98.92	•	4
18	.60	.05	100.09	•	•
19	.55	.01	101.16		

Factor	Eigenvalue	Eigenvalue Difference	Percent Variance	Eigenvalue	Percent Variance
20	.54	•	102.22		
				. ,	•

<sup>\*</sup> When the factor matrix is initially overfactored and squared multiple correlations are used for communality estimation, it is common that latter factors account for more than 100 percent of common variance (trace).

Table 7 presents the specific problems that had a .300 or higher loading on each of the ten bothersomeness factors.

#### TABLE 7

## TEN FACTOR SOLUTION FOR BOTHERSOMENESS DATA FROM THE TEACHER PROBLEMS CHECKLIST: READING

(Asterisks denote problems identified as significant for all respondents.

N = 528)

## Factor I: Invigoration

TPC-R	Problem Statement		Factor Loading
90*	Motivating students to read.	/	-470
102*	Overcoming students' indifference	toward reading.	.449
87*	Getting students to read more.		. 429
73*	Enhancing my students' interest in reading.	and attitudes toward	.344
93*	Getting students to try harder:		.343
103	Because I want to feel successful	as a teacher of reading.	.329
106*	Having students feel that they are progress.	making sątisfactory	.323
78*	Overcoming student apathy or outri	ght dislike.	.317
**	Overcoming students' feelings of f	rustration.	.301

# Factor II: Skill Building in Oral Reading

TPC-R	Problem Statement	Factor Loading
42	Improving students' oral reading ability.	.530
46	Getting students to read accurately orally.	.525
61	Getting students to read aloud with expression.	482
41	Getting students to pronounce letters of the alphabet correctly.	.388
77-	Getting students to read loudly and clearly.	.348
54	Helping students learn to read fluently.	345
67*	Helping students to comprehend what they are reading orally.	.332
50	Getting students to read each word.	.319

## Factor III: Support

TPC-R	Problem Statement	Factor Loading
71	Getting other teachers to encourage interest in and positive attitudes toward reading and to teach it better themselves.	.575
43	Getting others to use standardized tests for diagnosis rather than using them to reinforce cultural stereotypes.	.547
96	Because I want other teachers to stimulate interests in reading.	.532
86	Being able to find and/or use cultural-fair tests.	.477
76	Reinforcing cultural stereotypes to get federal or state money.	.394

## Factor IV: Time

TPC-R	Problem Statement	Factor Loading
80*	Finding enough time to help all reading groups.	.44.4
81	Completing the work I have planned.	.433
66 <b>*</b> ₩	Teaching too many students or large classes.	.431
	Being unencumbered by other things such as taking attendance, collecting assignments and passing out materials when I should be teaching.	.386
57*	Having preparation time.	.342
104	Cetting students to achieve up to standards set by publishers of reading materials.	.322
<u> </u>	Factor V: Individualization	· · · · · ·
IPC-R		Factor Loading
# :	Problem Statement  Knowing about and having available a full range of reading materials—doing those things which identify and procure	Loading
21*	Problem Statement  Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.  Knowing about and having appropriate materials for a wide	Loading
# 21* 92*	Problem Statement  Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.  Knowing about and having appropriate materials for a wide range of students.	.638 .530
# 21* 92*	Problem Statement  Knowing about and having available a full range of reading materials—doing those things which identify and procure such materials.  Knowing about and having appropriate materials for a wide range of students.  Having appropriate materials for different interest levels.	.638 .530



# Factor VI: Security

TPC-R		Factor
#	Problem Statement	Loading
25	Establishing and maintaining rapport with students.	·450 ·
70	Being prepared.	.422
<b>99</b>	Being organized.	.414
31	Being awake and alert.	.380
	Knowing how to correct students who are easily embarrassed.	.359
: . ?	Factor VII: Competence in Diagnosis and Remediation	-
TPC-R	Problem Statement	Factor Loading
52*	Gaining skill in the diagnosis of individual student reading difficulties and having the time and opportunity to employ such skills.	.461
64*	Improving students word attack skills.	.407
30*	Having enough time to work with students who need special help.	.395
1.		
	Factor VIII: Nurturance	
IPC-R	Problem Statement	Factor Loading
12	Getting students to wear their glasses.	.379
4	Helping students feel secure and unafraid when reading aloud.	. 363
	·	

	Factor IX: Professional Worth	
TPC-R	Problem Statement	Factor Loading
10	Being recognized as a successful teacher of reading by having my students do well so that my colleagues are aware of my teaching effectiveness.	•559
6	Gaining the respect and esteem of my colleagues and others-doing those things which are honored, esteemed and respected.	.541
63	Getting my colleagues to consider my teaching effective.	469
14	Feeling successful as a teacher of reading-doing things and having colleagues and administrators do things which contribute to my success.	.317
,	Factor X: Skill Building in Reading Comprehension	
TPC−R	Problem Statement	Factor Loading
17	Getting students to learn and remember basic terms in a special subject area.	.390
16	Getting students to read at a rate appropriate for compre- hending the material.	.373
28*	Getting students to comprehend.	.322

Note - These results were used to construct the TPC-R, Form C

The factors were labeled and defined as below.

Skill Building in

Oral Reading

Factor I:	Invigoration	
	6	•
Factor II:	Skill Building in	

- Wanting to vitalize my students' interests in learning and improve their, achievement.
  - Wanting students to improve in oral reading. Doing those things which seem likely to improve that ability in students.



Factor III: Support

Factor IV: Time

Factor V: Individualization

Factor VI: Security

Factor VII: Competence in

 Diagnosis and Remediation

Factor VIII: Nurturance

Factor IX: Professional Worth

Factor X: Skill Building in Reading Comprehension

Wanting the understanding and sustenance of administrators and other teachers so that I can be efficient and feel professional.

- Wanting time to get both professional and personal things accomplished.

Wanting to know about and have available a full range of reading materials.
 Doing those things which identify and procure such materials.

- Wanting to feel free from fear and anxiety.

 Wanting skills in the diagnosis and remediation of individual student reading difficulties.

- Wanting to help students who have problems.

 Gaining the respect and esteem of my colleagues and others. Doing those things which are honored, esteemed and respected so as to gain that sense of worth.

- Wanting students to improve in reading comprehension. Doing those things which seem to improve that ability in students.

Responses from two scales, one indicating the extent to which teachers of reading perceived a problem as occurring frequently within their classrooms and the other scale indicating the extent to which they perceived a problem as bothersome, were subjected to factor analysis. Eleven factors emerged from this analysis of the frequency scale and ten emerged from the bothersome scale. These factors provided a relatively clear view of not just teacher perceptions of problems associated with teaching reading, but, indeed, provided an interesting and occasionally provocative glimpse of their assumptions and convictions

about teaching, the reading process, learning, and curriculum. Several factors revealed a deep sense of frustration and a yearning to improve and grow professionally. Other factors reflected an unusually narrow and alarmingly sterile conception of curriculum. And some factors made painfully obvious perceptions based upon ignorance, misinformation, and warrantless assumptions. Each factor is discussed below incorporating the perspective of the bothersome scale. Since an oblique rotation was employed, relationships among factors will be discussed where logically warranted.

DISCUSSION

### Invigoration

Underlying the desire toastir and invigorate student interest in reading is the elemental notion that reading ability grows, in part, in proportion to the amount one reads. Teachers understand both that reading is fundamental to achievement in school, given our enormous reliance on textbooks as the primary source of new learnings in American schools, and that single textbooks appear a mainstay of the curriculum from middle school through graduate school. Knowing that so much of a student's potential and future achievements are based upon success in learning to read and learning from reading, teachers believe that motivation and invigoration of reading are keys to unlocking the doors to student power and skill in reading. Of course, underlying this belief are the twin assumptions that the textbook is an effective medium of instruction and that both reading ability and motivation, where they are lacking, must be inflated to a level sufficient to comprehend the textbook.

The problem with this assumption, however, is that no student, no matter how well he reads, can comprehend materials which assume concepts and experiences not in his possession. Since no textbook can match the experiential and conceptual backgrounds of all the pupils in class, problems are certain to arise if, rather than use varied materials, teachers employ single textbooks. The problem statements making up this factor dramatically demonstrate, however, that rather than utilize a variety of books selected to appeal to student interests and tailored to their experiences and abilities, teachers believe they must "stretch" students to fit books. Problem statements such as "Getting students to enjoy it," "Overcoming indifference," "Overcoming student's feelings of frustration," "Changing negative perceptions and attitudes toward reading," "Overcoming apathy and outright dislike," and "Having students feel successful at reading" load on the invigoration factor both for frequency and for bothersomeness. All of these statements express the desire to change students in the direction of the materials of instruction. The expensive and enormous magnitude of any effort to accomplish this change in reading abilities certainly has not been given very serious attention if these data mean anything.

Motivation is inextricably linked to interests, preferences, and, above all, reading ability. Textbooks by design introduce in rapid order many new concepts and notions partly or wholly unknown to learners. Unless readers comprehend much if not most of a selection before they ever read it, they will learn little from it (2). Without sufficient prior levels of understanding for any given textbook, the reading task will be extraordinarily difficult producing in students feelings of frustration, dislike, apathy, findifference, and failure. Given this state of affairs, teachers are likely to feel that invigoration and student motivation, indeed, are serious problems. A more

realistic and effective solution to the problem would be to abandon single textbook instruction and adopt the practice of teaching from a range of materials suited to varied student backgrounds and reading abilities.

### Skill Building in Oral Reading

Oral reading appears to be a pervasive activity both in the teaching of reading and in the teaching of subject matter. The functions of oral reading in both are to monitor student progress and skill, to achieve specific learning goals such as highlighting important ideas or providing practice at locating them, and to a more limited extent, to provide a means of sharing content to broaden interpretation as well as provide an avenue of learning for pupils who read poorly and for whom other means of learning cannot be or are not made available.

Inherent in these functions is the assumption that reading, unlike speech, should be or must be free of error, false starts, hesitations, and faulty prediction. Indeed, the assumption is that instant reading must approximate rehearsed, dramatically interpreted speech. Implied also in this factor is the notion that, unlike many professional performers, students can learn to function securely and fearlessly in performances before audiences of their peers over content purposely designed to be new to them and written with little or no thought of or feel for speech. Unrehearsed reading, even by skilled performers who read lines for a living, has most of the characteristics of unrehearsed spoken language-garbles, fillers, hesitations, repetitions, mispronunciations, substitutions, insertions, and the like. Adequate preparation and rehearsal would eliminate these common oral language characteristics

for most average readers. Poor readers should never be required to read before a live addience without prior substantive preparation. Where or al reading is used to achieve particular curricular or learning objectives, the pedagogical literature indicates these purposes should be clearly and carefully delimited in scope and duration.

Naturalistic studies of reading (11, 14) demonstrate that oral reading? is never error free. In the main this teacher problem appears to arise out of unreasonable, unwarranted assumptions. Oral reading, when its function is to provide feedback to the teacher, should contain miscues, as Goodman (11) refers to them, if the teacher is to gain insight into the reading strategies, strengths thinking patterns, and weaknesses of a pupil. In this case difficult materials are purposely selected for oral reading. Again, the problems indicated in this factor stem from unreasonable, unwarranted expectations.

Getting students to read with accuracy and with expression were significant concerns for all respondents. This dimension of the factor, that is, that all respondents shared this concern suggests how widespread the practice of having students read aloud has become and how poorly most teachers understand this aspect of reading and language as dynamic processes

#### Time

Many if not most of the assumptions inherent in how teachers and administrators view instruction as well as how they understand reading and language as processes are reflected in this factor. Both intermediate and secondary school instruction are heavily and often exclusively dependent on textbooks as a primary medium of instruction which in part, explains why teachers and administrators perceive classes as too large to the teacher's instruc-

ability exists that puril achievements will be distributed similar to the way reading ability is distributed in a class. The relationship between reading ability and single text instruction, over and above any classroom management and grouping difficulties associated with time available for instruction, explains this perception. Strikingly, single textbook methodology was perceived a problem by every respondent. Reading and learning from reading in a wide variety of materials pervade nearly every response in the factor identified as individualization. The feeling that there is never enough time for reading instruction, when, in fact, most curriculum activities in a wide spectrum of content areas incorporate reading or preparation for reading, suggests both the strength of this perception and the extent to which it is related to other factors.

In all probability several other factors which emerged from the data exacerbate the feeling that not enough time is available for reading instruction. Factors describing feelings of support, professional worth and competence, the desire to improve basic reading abilities, the problems associated with individualizing instruction, the wish to be instrumental in each pupil's reading successes undoubtedly contributed to the strong time pressure mirrored by this factor. The extent to which time pressure is seen as a problem by educators, both in frequency and in bothersomeness, is indicated by the very high proportion of items in the factor structure identified as significant by all respondents. Five out of six statements in the factor structure for frequency meet this criterion while three out of six did likewise for bothersomeness. Teachers felt there simply was not enough time available for reading instruction.

As a practical matter, gaining more instructional time can be achieved

through increasing the amount of time available for reading instruction at the expense of other curriculum areas or through lowering pupil-teacher ratios. In addition, some efficiencies can be gained through improved organization and planning such as reducing class size in the primary grades by starting the school day earlier and adopting split sessions. Not all of these remedies work. Balow's (1) results suggest that smaller pupil-teacher ratios at the primary level will indeed bring about greater gains in reading achievement; however, no such relationship between class size and achievement has been demonstrated at other granulevels and in other curriculum areas (9, 13). In other words, smaller classes cannot remedy the effects of single textbook instruction immount of instructional exposure as measured by pupil attendance and lengthwof school day also affect achievement positively (15). Attendance at school can be very beneficial but layering on more and more formal reading instruction does not appear to be a good way to use the pupil's time once he , is there. How pupils spend time is the essential question. "Time belongs to the learner." How teachers spend time must be considered in this perspective.

The teacher's sense that time is important is convincingly validated by the literature. Their problem statements regarding instructional time suggest a uniform and widely held perspective, but one which does not discern how important pupil time is or what the relationship between teacher and pupil utilization of time implies.

### Support

None of the problem statements defining the factor "support" for either bothersomeness or frequency—achieved significance. Nor were these statements strongly or widely held by any of the subgroups. Some respondents appeared to

feel that colleagues and administrators do not encourage and support their efforts to improve pupil reading ability. Three of the items which contributed to this factor reflected a desire to eliminate or at least deflate cultural stereotypes held by colleagues. Since not a single item in this factor was identified as significant by respondents, this concern for stereotyping may be an artifact which reflects an unidentified but distinct subgroup within the overall group. Individual problem factor loadings, as contrasted with their respective rankings or with their significance, are among the strongest obtained suggesting a high degree of cohesion among those who perceived "support" as a problem.

## Professional Worth

The factor structure for professional worth has many of the same characteristics as the structure for support. The perceptions reflected in this factor were not widely held nor were they uniformly held. Underlying the need for respect and esteem suggested by this factor may be an ideal of success in teaching as measured by the teacher's ability to bring about significant achievement in reading. Or as noted above, a distinct subgroup may share this conception of the importance of reading achievement. These data are not sufficiently defined to allow more than this very entative interpretation.

## Individualization

Individualization was one of the most interesting factors to emerge from these data. Loadings were very high, and for both bothersomeness and frequency, all of the statements comprising the factor structure except one achieved significance. The various subgroups differed little in their rankings

ment focused on selecting reading materials. Individualization was defined solely as a process of manipulating materials. And what is more, not one group felt that appropriate reading materials were available for a wide range of distinct pupil interests and abilities.

Knowing full well that reading materials play a critical role in the teacher's ability to meet pupil needs and confronted by the stark fact of their unavailability, each group appeared to apprehend the full significance of this problem. Easentially, their task was to make bricks without straw: Recognizing the clear futility their position, their concerns for motivation, skill building, and time were fully understandable. Given, too, this rather constrained view of individualization, even though it may not be fully acceptable, their desire for support and their concerns for professional worth can be appreciated.

### Skill Building in Word Recognition

While word recognition instruction was seen as a "frequent" but not a "bothersome" problem, it appeared only to be a problem in the sense of requiring on-going attention by teachers and specialists whose level of instruction and primary instructional responsibility demanded a focus of developing word study skills. Running through most of the individual problems was the implication that traditional word recognition skills are not easily learned—an observation most likely shared by elementary teachers and reading speciations because of their instructional level. As seen in Table 3, of the three items deemed significant in this particular factor, two appeared in the top third of the rankings and by only three of the five groups. Elementary,

middle school, and special reading teachers ranked the statement, "Improving student's word attack skills," 36, 34, and 31 respectively. For the statement, "Helping students to overcome perceptual problems," supervisory-administrative personnel replaced middle school teachers in this trio and, surprisingly, ranked this problem 16th. Undoubtedly students with perceptual problems received a good deal of attention and because of the sometimes unusual character of their problems, and it must be added, often unwarranted interpretation of them, administrators may be inclined to overreact to these cases.

Perhaps the greatest significance of this factor is the implied but incorrect assumption that word recognition is the product of intensive, concerted, formal instruction rather than a product of learning to, integrate graphic information with already existing language capabilities. According to Gibson and Levin (10):

"We have not yet achieved an adequate understanding of what it is that can be generalized in orthography the other that, whatever it is, the child-learns it on his own, and not by way of a set of planned exertises."

Early reading appears to take the following course: (a) Substitute words that fit the sense of the sentence; (b) Look over the letters in the word and keep silent if you cannot make sense of it; (c) If it helps, try out a word using whatever letter information can be gleaned but base the response on fts sensibleness in the sentence (10). What teachers need to learn is that telling children rules and trying to get them to attend to the formal properties of intraword structure is like telling someone to listen to a story so as to understand it by virtue of its syntactic constituents rather than its meanings. Children must discover useful word structure tacitly, over time, through meaningful reading, and with sensitive guidance from the teacher when pupils require it.

## Competence in Diagnosis and Remediation

Problems associated with diagnosing and remediating reading difficulties were among the most bothersome of those identified in this study. Problems of evaluation and subsequent instruction directed toward individual student difficulties appeared grounded more in having the time and opportunity to work with students than in feelings of not knowing how to deal with student reading difficulties. On the "frequency" scale problem statements reflected an under standing that appraisal is an important, on-going teaching responsibility, a perception sure to have heightened feelings of urgency about knowing how to evaluate reading ability. The relative strength of the "bothersome" dimension on this factor can be appreciated by noting that two of the three statements. comprising this factor were ranked in the top ten by all subgroups with one exception--secondary teachers viewed gaining skill in diagnosis as less important than other groups did. One problem statement, "Having enough time to work with students who need special help," was ranked first in bothersomeness by all groups except reading specialists who ranked the statement second. Without question, concern for competence in diagnosis and remediation of reading difficulties was widely held and strongly felt by those directly and indirectly responsible for reading instruction.

## Skill Building in Reading Comprehension

All of the statements which loaded on this "comprehension" factor for the frequency scale were from among the 57 problems identified as significant for all respondents. Not only were problems associated with developing comprehension viewed as frequent; many were ranked among the most bothersome in the sample by all subgroups. Most problem statements presupposed meaning to be

a cognitive contribution from the reader. Statements such as "Getting students to see meaning in the process among the top ten problems on the bothersome scale—reflecting a view and structure of a reader's knowledge neither affects nor impinges upon the meanings in the meanings in the second a writer, a reader must relate these meanings to the larger contribution of the meanings are the structure of system of knowledge and frequently must reorganize that water to accommodate new information.

Significantly missing from the problem statements were acknowledgements that factors inherent in written materials can affect comprehension. However, statements such as those dealing with getting students to read at an appropriate rate, and getting them to infer reflected an awareness that learning from reading is significantly affected by tactics employed by the reader.

#### Security

All subgroups within this sample appeared to be concerned about maintaining a secure and well-managed learning environment for reading instruction. Neither on the bothersome scale nor on the frequency scale did any of the problem statements achieve significance. In general this factor reflects a healthy concern for the personal worth of students and the professional responsibilities of a competent teacher.

#### Student Success

While this factor emerged only on the frequency scale indicating a recurring problem, half of the statements which comprise this factor were ranked among the top ten by middle and high school teachers on the bothersome scale. These statements suggest that teachers in these two groups particularly but in the other groups as well perceive learning from reading to be a significant hurdle for students. Because preparing students to learn things from books is an important, on-going teaching activity, these concerns are obviously well-founded. On the other hand, reading for sheer enjoyment may be equally if not more important, for language, even printed language, has more than just an informational function. Language also serves deeply personal and subjective ends. Language is an abiding source of deep esthetic meaning. Not reading is as crippling a handicap as not being able to read.

#### CONCLUSIONS

Many of the problems of teachers identified in this study have their origins in misconceptions about the nature and functions of reading. Reading to learn is an essential activity which is dependent upon numerous perceptual, cognitive, and motivational factors. But most of all reading is an instance of language—whose nature and functions must be understood if reading is ever to become an effective means of learning. Unfortunately, the majority of problems identified in this study revealed that many teachers have only a modest understanding of language and the reading process. If these problems are to be resolved, both preservice and inservice teacher education will need to include significantly greater and more effective instruction in language.

What is known about learning to read has been expanded dramatically over the past decade. These problem statements were deeply steeped in the analogies and terminology of another generation. They suggest that, still, teachers. search to find that one omniscient method that will end all reading problems.

Practicing teachers desperately need theoretical guides for observing children and for curricular planning. Quite plainly, their knowledge base needs to be upgraded and updated.

Reading is an active language process useful in achieving a variety of purposes and instantly available at all times. But reading cannot serve alone as the summation of all curriculum efforts. To learn from reading requires a substantial knowledge background. By and large these problem statements set forth the view that reading ability alone required expansion and enrichment but that curriculum could suffice as those experiences to be found in textbooks. The proper role and function of reading in curriculum must be impressed upon both new and experienced teachers.

Other problems reflected very real shortcomings in the availability of time and teaching materials. These shortcomings, in turn, appeared to have a debilitating effect on teacher morale and their sense of professional competence and worth. The solution to these problems will more than likely be found in sound, sustaining, school leadership and administration. Repeatedly, these problem statements proclaimed teachers' desires to be competent and effective in teaching reading.

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#### ABSTRACT

Responses from 528 educators to a Teacher Problems Checklist for Reading.

Incorporating both a "frequency" and a "bothersome" scale were factor-analyzed and subjected to an oblique, promax rotation. Eleven factors emerged from the "frequency" scale and ten factors emerged from the "bothersome" scale.

Factors such as invigoration, professional worth, and skill-building in reading comprehension provided a rather clear indication of those problems seen by educators as either difficult to resolve or occurring with noticeable frequency. The factors which emerged from this analysis also revealed interesting and occasionally provocative assumptions and convictions about teaching, the reading process, learning, and curriculum. Others portrayed a deep sense of frustration on the part of teachers and a yearning to improve and grow professionally.